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CANTOR COLBURN LLP

INTELLECTUAL PROPERTY ATTORNEYS

201 WEST BIG BEAVER ROAD, SUITE 370

TROY, MICHIGAN 48064-4116

TELEPHONE: (248) 524-2300

FACSIMILE: (248) 524-2700

FACSIMILE TRANSMITTAL SHEET

TO:
 PRESTON WALLACE
 CUSTOMER SERVICE
 OFFICE OF INITIAL PATENT
 EXAMINATION

FROM:
 CHRISTOPHER C. BOEHM

COMPANY:
 UNITED STATES PATENT AND
 TRADEMARK OFFICE

DATE:
 JUNE 24, 2004

FAX NUMBER:
 703-746-9195

TOTAL NO. OF PAGES INCLUDING COVER:
 14

PHONE NUMBER:
 703-308-1202

SENDER'S REFERENCE NUMBER:
 H0001541

RE:
 SECOND REQUEST FOR CORRECTED
 FILING RECEIPT

YOUR REFERENCE NUMBER:
 U.S. SERIAL NO. 09/974,694

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

NOTES/COMMENTS:

PURSUANT TO YOUR TELEPHONE CONVERSATION WITH OUR OFFICE ON THIS DATE, ATTACHED IS THE REQUEST FOR CORRECTED FILING RECEIPT WITH ATTACHMENTS ORIGINALLY SUBMITTED ON APRIL 7, 2004, TOGETHER WITH THE AUTO-REPLY FACSIMILE TRANSMISSION FROM THE U.S. PATENT AND TRADEMARK OFFICE. PLEASE PROCESS THIS REQUEST AND ISSUE A CORRECTED FILING RECEIPT.

IMPORTANT: This message is intended only for the use of the individual or entity to which it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering it to the intended recipient, you are hereby notified that reading, disseminating, distributing or copying this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone, and return the original message to us at the below address via the U.S. Postal Service. Thank you.

PM



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
00/974,694	10/11/2001 10/10/2001	1723	908	H0001541	11	20	5

CONFIRMATION NO. 7962

FILING RECEIPT



OC000000007071015

Honeywell International Inc.
Law Department, Patent Services
101 Columbia Road
Morristown, NJ 07962

Date Mailed: 11/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ronald Paul Rohrbach, Flemington, NJ;
Russell Johnson, Elmhurst, IL;
Peter D. Unger, Morristown, NJ;
Gordon William Jones, Toledo, OH;
Daniel E. Bause, Flanders, NJ;
Gary B. Zulauf, Findlay, OH;

Assignment For Published Patent Application

Honeywell International Inc.;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 11/13/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

By
11/20/01

Filter apparatus for removing sulfur-containing compounds from liquid fuels, and methods of using same

Preliminary Class
210

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12. If a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

***** P. 01 *****

TRANSACTION REPORT

APR-07-2004 WED 03:02 PM

DATE	START	RECEIVER	TX TIME	PAGES	TYPE	NOTE	M#	DP
APR-07	02:58 PM	917037489195	3' 38"	11	SEND	OK	461	
TOTAL :						3M 38S	PAGES: 11	



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 INTELLECTUAL PROPERTY ATTORNEYS
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 TROY, MICHIGAN 48064-4116
 TELEPHONE: (248) 524-2300
 FACSIMILE: (248) 524-2700

FACSIMILE TRANSMITTAL SHEET

TO:

FROM:

CHRISTOPHER C. BOEIM

COMPANY:
 UNITED STATES PATENT AND
 TRADEMARK OFFICE

DATE:
 APRIL 7, 2004

FAX NUMBER:
 703-746-9195

TOTAL NO. OF PAGES INCLUDING COVER
 11

PHONE NUMBER:
 703-308-1202

SENDER'S REFERENCE NUMBER:
 I10001541

RE:
 REQUEST FOR CORRECTED FILING
 RECEIPT

YOUR REFERENCE NUMBER:
 U.S. SERIAL NO. 09/974,694

USP'FO



Fax Sender at 1 248 524 2700

4/7/2004 3:57:05 PM [Eastern Daylight Time]

11 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

[illegible]



CANTOR COLBURN LLP
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TROY, MICHIGAN 48064-4116
TELEPHONE: (248) 524-2300
FACSIMILE: (248) 524-2700

FACSIMILE TRANSMITTAL SHEET

TO:

FROM:

CHRISTOPHER C. BOEHM

COMPANY:

UNITED STATES PATENT AND
TRADEMARK OFFICE

DATE:

APRIL 7, 2004

FAX NUMBER:

703-746-9195

TOTAL NO. OF PAGES INCLUDING COVER:

11

PHONE NUMBER:

703-308-1202

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110001541

RE:

REQUEST FOR CORRECTED FILING
RECEIPT

YOUR REFERENCE NUMBER:

U.S. SERIAL NO. 09/974,694

☐ URGENT☐ FOR REVIEW☐ PLEASE COMMENT☐ PLEASE REPLY☐ PLEASE RECYCLE

NOTES/COMMENTS:

IMPORTANT: This message is intended only for the use of the individual or entity to which it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering it to the intended recipient, you are hereby notified that reading, disseminating, distributing or copying this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone, and return the original message to us at the below address via the U.S. Postal Service. Thank you.

April 7, 2004

Via Facsimile (703-746-9195)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: United States Patent Application
Inventor: Rohrbach et al.
Entitled: FILTER APPARATUS FOR REMOVING SULFUR-
CONTAINING COMPOUNDS FROM LIQUID FUELS, AND
METHODS OF USING SAME
Serial No. 09/974,694
Filed: October 11, 2001
Attorney Docket No: H0001541

Sir:


We enclose herewith:

☒ Request for Corrected Filing Receipt


The Commissioner is hereby authorized to charge payment of the fees associated with this communication or credit any overpayment to Deposit Account No. 06-1130.

Applicant hereby petitions under 37 CFR 1.136 and other applicable rules to have the response period extended the number of months necessary to render the attached communication timely in the event a petition is required.

Respectfully submitted,


Christopher C. Boehm
Reg. No. 41,624

I hereby certify that this paper is being sent to the United States Patent and Trademark Office via facsimile, (703) 746-9195, addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 7, 2004.


Mary Anne Rousseau

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

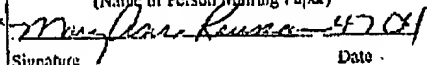
APPLICANT: ROHRBACH ET AL.) Group Art Unit: 1724
)
)
SERIAL NUMBER: 09/974,694) Examiner: Barry, Chester T.
)
)
FILED: October 11, 2001)
)
)
FOR: FILTER APPARATUS FOR)
REMOVING SULFUR-CONTAINING)
COMPOUNDS FROM LIQUID FUELS,)
AND METHODS OF USING SAME)

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

1. Attached is a copy of the official filing receipt received from the United States Patent and Trademark Office in the above application for which issuance of a corrected filing receipt is respectfully requested. The requested changes are noted on the copy of the official filing receipt.

I certify that this correspondence is being deposited with the United States Postal Service via facsimile 703-746-9195 addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on:	
April 7, 2004	
(Date of Deposit)	
Mary Anne Rousseau	
(Name of Person Mailing Paper)	
	4701
Signature	Date

110001541

1

REMARKS

2. There is an error with respect to the following data, which is:

☒ incorrectly entered, and/or

☐ omitted.

Error In**Correct data**

- | | |
|--|---------------------|
| 1. <input type="checkbox"/> Applicant's name | 1. |
| 2. <input type="checkbox"/> Applicant's address | 2. |
| 3. <input type="checkbox"/> Title | 3. |
| 4. <input checked="" type="checkbox"/> Filing Date | 4. October 10, 2001 |
| 5. <input type="checkbox"/> Serial Number | 5. |
| 6. <input type="checkbox"/> Foreign/PCT Application RE: 6. | |
| 7. <input type="checkbox"/> Other | 7. |

3. A. ☒ The correction(s) is/are not due to any error by applicant and no fee is due.

B. ☐ At least one of the above corrections is due to applicant's error and the fee therefore, under 37 CFR 1.19(h), of \$25.00 is paid as follows:

☐ enclosed is a check for \$25.00

☐ charge deposit account 06-1130

In support of the above requested changes applicant includes the following documents:

Attachment 1) A copy of the patent application transmittal forms submitted on October 10, 2001 for the above-identified patent application. The enclosed patent application transmittal form includes a certification under 37 CFR 1.10 referencing express mail label number ET753588891 U.S.

Attachment 2) A copy of the Express Mail filing receipt for ET753588891 US. Indicating that the same was mailed on October 10, 2001.

Attachment 3) Correspondence from the United States Postal Service dated May 7, 2002 indicating that express mail item number ET753588891 U.S. was delivered and signed for on October 11, 2001.

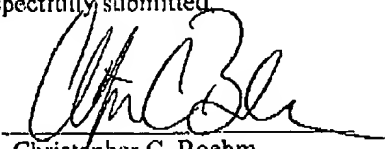
I10001541

2

Attachment 4) A copy of the acknowledgment postcard submitted with United States patent application serial number 09/974,694 indicating a mailing date of October 10, 2001.

Respectfully submitted

By:



Christopher C. Boehm
Registration No. 41,624

Date: April 7, 2004
Telephone: (248) 524-2300

H0001541

3

☒ "Express Mail" mailing label No. ET753588891US Date of Deposit 10/10/01

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.


Mary Ellen Donovan

PATENT APPLICATION TRANSMITTAL FORM

DOCKET NO. (Optional)
H0001541

To the Assistant Commissioner for Patents:

R. Rohrbach, R. Johnson, P. Unger, G. Jones, D. Bause and
G. Zulauf

Transmitted herewith for filing is the patent application of _____

entitled FILTER APPARATUS FOR REMOVING SULFUR-CONTAINING COMPOUNDS FROM LIQUID FUELS,
AND METHODS OF USING SAME

Enclosed are:

- ☒ 11 sheets of drawings.
- ☒ an assignment of the invention to: Honeywell International Inc.
- ☒ Executed declaration of the inventors.
- ☐ a certified copy of a _____ application.
- ☐ associate power of attorney.
- ☐ a verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
- ☐ information disclosure statement, PTO 1449, _____ References
- ☐ preliminary amendment.
- ☐ other: _____

	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE			\$ 740.00	\$740.00
TOTAL CLAIMS	20-20		18.00	
INDEPENDENT CLAIMS	5-3	2	84.00	168.00
MULTIPLE DEPENDENT CLAIM PRESENT				
*NUMBER EXTRA MUST BE ZERO OR LARGER			TOTAL	\$908.00

☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.

☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 01-1125
as described below. I have enclosed a duplicate copy of this sheet.

☒ Charge the amount of \$ 908.00 as filing fee.

☒ Credit any overpayment.

☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.

October 10, 2001

Date

Signature

Paul L. Marshall

Attorney Name

31,178

Reg. Number

248 362-7053

Phone

ATTACHMENT 1

Apr-07-2004 01:54pm From-HFM LEGAL DI

+2483627122

7-802 P.001/001 F-362

[illegible]

Customer Copy
Label 11-B May 2001

Letter 11-B 1 May 2001

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10-11-77

ATTACHMENT 2

US Postal Service 5/7/2002 15:53 PAGE 1/1

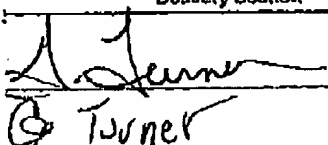
**UNITED STATES
POSTAL SERVICE™**

Date: 05/07/2002

Fax Transmission To: MARY DONOVAN
Fax Number: 248-362-7122

Dear MARY DONOVAN:

The following is in response to your 05/07/2002 request for delivery information on your Express Mail Item number ET753588891US. The delivery record shows that this item was delivered on 10/11/2001 at 11:48 AM in WASHINGTON, DC 20231 to G TURNER. The scanned image of the recipient information is provided below.

Signature of Recipient: Delivery Section

G Turner

Address of Recipient: C Patents 20395

Thank you for selecting the Postal Service for your mailing needs. If you require additional assistance, please contact your local Post Office or postal representative.

Sincerely,

United States Postal Service

ATTACHMENT 3

DATE MAILED: October 10, 2001 SBU: 2600

PATENT APPLICATION FOR: FILTER APPARATUS FOR REMOVING SULFUR-COMPOUNDS FROM LIQUID FUELS, AND METHODS OF USING SAME

INVENTOR(S): Ronald P. ROHRBACH et al

THE FOLLOWING HAS BEEN RECEIVED IN THE U.S. PATENT AND TRADEMARK OFFICE ON THE DATE STAMPED HEREON:

☒ Transmittal Cover Sheet

☒ Patent Application Basic Fee: \$ 740.00

16 page(s) specifications Claims in excess of 20: \$ 0 165.00

5 page(s) claims Independent Claims in excess of 3: \$ (0) 420.00

1 page(s) abstract Multiple Independent Claim: \$

11 sheet(s) of drawings ☐ formal ☒ informal

☒ Declaration/Power of Attorney ☒ executed ☐ unexecuted

☒ Assignment and Cover Sheet: \$ 40.00

☐ Information Disclosure Statement ☐ Form PTO 1449 with References

☐ Preliminary Amendment (page(s)) 948.

☒ Total fees charged to Deposit Account No. 01-1125: \$ 1200.00

OTHER \$

Attorney Docket No.: H0001541 2 file Attorney: P. Marshall

31000 U.S. PTO
09/19/01
10/11/01

ATTACHMENT 4